

RESPONSIBLE CONDUCT OF RESEARCH (RCR)  
**UM RESOURCES FOR STUDENTS, FACULTY & STAFF**

NOTE: This information is subject to change. Go to [www.miami.edu/rcr](http://www.miami.edu/rcr) for the most recent version. Please send suggestions for additions or corrections to UM Ethics Programs at [ethics@miami.edu](mailto:ethics@miami.edu).

*Can't find what you want in the alphabetic listing of organizations below? Look at the by-subject listing at the end of this document.*

**'Cane Watch**

A "hotline" service for confidential or anonymous reports, via web form or telephone, maintained under contract for UM by EthicsPoint, Inc. Though described as primarily a utility for employees, reports may be made by anyone, on any kind of actual or suspected misconduct. See the [EthicsPoint FAQ](#) for more information. This service replaces the **Compliance Hotline**. **NOTE: This is not an E-911/emergency reporting service. Call 911 for emergency matters.**

- WEB: [www.canewatch.ethicspoint.com](http://www.canewatch.ethicspoint.com) (NOTE: EthicsPoint reporting site, not a UM site)
- TEL: 877-415-4357 (NOTE: EthicsPoint call center, not UM)

**Billing Compliance, Office of**

Ensures that UHealth providers, hospitals and clinics are in compliance with all applicable state and federal billing and documentation rules and regulations, including clinical research billing.

- WEB: [www.ummg.med.miami.edu/x119.xml](http://www.ummg.med.miami.edu/x119.xml)
- TEL: 305-243-5842

**Clinical Research Initiation Service (CRIS)**

Team-based approach offering a single point of contact for investigators and sponsors to accelerate study start-up, maximize collections, and ensure billing compliance after a clinical study is underway.

- WEB: <http://uresearch.miami.edu/cris>
- TEL: 305-243-7704

**Collaborative Institutional Training Initiative (CITI) Program**

Online training for researchers, including content on Animal Care and Use, Biosafety and Biosecurity, Export Control, Good Clinical Practice, Human Subjects Research, Information Privacy and Security, and Responsible Conduct of Research. **Free for UM affiliates, but registration required to access content.**

**Correct entry of UM C-number during registration is required for course credit.**

- WEB: [www.citiprogram.org](http://www.citiprogram.org)
- TEL: 305-243-7970 (U.S. Help Desk)

**Compliance Hotline**

This service has been replaced by **'Cane Watch**.

**Conflict of Interest**

UM conflict of interest policy information, training resources, and contact information for staff in the UM Office of Research responsible for CoI policies.

- WEB: [uresearch.miami.edu/coi](http://uresearch.miami.edu/coi)
- TEL: 305-243-4054

### **Core Facilities and Shared Resources**

Coordinates use of specialized research expertise, facilities and instrumentation, on availability and/or fee-for-service basis, from resources on all UM campuses. Focused on biotechnology and clinical research, but with resources applicable to other research areas (e.g., computational resources, statistical consulting).

- WEB: [uresearch.miami.edu/cores](http://uresearch.miami.edu/cores)
- TEL: 305-243-1364

### **Environmental Health and Safety, Office of**

Responsible for ensuring compliance with health, safety and environmental regulations, including support and training on occupational, biological and chemical hazards. For matters related to radioactive materials and radiation hazards, see **Radiation Control**.

- WEB: [www.miami.edu/ehs](http://www.miami.edu/ehs)
- TEL: 305-243-3400

### **Equality Administration**

Responsible for promoting diversity in the workforce and student body; maintaining a work and learning environment free from discrimination, harassment, and retaliation; and handling complaints of unlawful discrimination, including sexual, racial, and any other types of prohibited harassment, as well as whistleblower retaliation complaints. See also **Faculty Affairs**, **Human Resources**, and **Student Affairs**.

- WEB: [www.miami.edu/ea](http://www.miami.edu/ea)
- TEL: 305-284-3064 (Gables/Marine), 305-243-7203 (Medical)

### **Ethics Programs**

Inter-disciplinary programs in and resources for Biomedical Ethics, Business Ethics, Clinical Ethics, International Ethics, as well as Research Ethics. Coordinates training to meet federally mandated Responsible Conduct of Research requirements. See also **Research Ethics Consultation Service**.

- WEB: [www.miami.edu/ethics](http://www.miami.edu/ethics)
- TEL: 305-243-5723

### **Export Compliance**

UM export control policy information, training resources, and contact information for staff in the UM Office of Research responsible for EC policies.

- WEB: [www.miami.edu/exportcompliance](http://www.miami.edu/exportcompliance)
- TEL: 305-243-9545

### **Faculty Affairs**

Oversees faculty appointments, promotions, and faculty career development; advises faculty members at all career stages, department chairs, and administrative offices on policies regarding recruitment of new faculty, retention, compensation, leaves of absence and other conditions of employment; and whistleblower protection. See also **Equality Administration**, **Human Resources**, and **Student Affairs**.

- WEB: [www.miami.edu/faculty\\_affairs](http://www.miami.edu/faculty_affairs) (Gables/Marine), [www.facultyaffairs.med.miami.edu](http://www.facultyaffairs.med.miami.edu) (Medical)
- TEL: 305-284-3386 (Gables/Marine), 305-243-6551 (Medical)

### **Faculty and Staff Assistance Program (FSAP/EAP)**

Confidential support and assistance in resolving both personal problems and University workplace dilemmas. Students see **Student Affairs**, **Student Health**, and **Student Ombudsperson**.

- WEB: [www.miami.edu/fsap](http://www.miami.edu/fsap)
- TEL: 305-284-6604

### **Graduate School (Gables/Marine), Graduate and Postdoctoral Studies (Medical)**

Information about graduate educational programs, scholarships, interdisciplinary activities. Responsible for the oversight of UM master's, doctoral, and postgraduate programs; also responsible for maintaining ethical and academic standards in graduate studies. See also **Student Affairs**.

- TEL: [www.miami.edu/grad](http://www.miami.edu/grad) (Gables/Marine), [www.biomed.miami.edu](http://www.biomed.miami.edu) (Medical)
- WEB: 305-284-4154 (Gables/Marine), 305-243-1094 (Medical)

### **HIPAA Privacy and Security, Office of**

Responsible for enforcement of health data privacy and security regulations deriving from HIPAA, and other federal and state laws. For matters related to educational information and FERPA requirements, contact **Registrar**.

- WEB: [www.med.miami.edu/hipaa](http://www.med.miami.edu/hipaa)
- TEL: 305-243-5000 or 866-366-HUSH (toll-free)

### **Human Resources**

Manages employment-related services, including recruiting, selection, retention and development, compensation and benefits. Responsibilities include employee whistleblower protection. See also **Equality Administration, Faculty Affairs, and Student Affairs**.

- WEB: [www.miami.edu/hr](http://www.miami.edu/hr) (Gables/Marine), [www.med.miami.edu/hr](http://www.med.miami.edu/hr) (Medical)
- TEL: 305-284-4083 (Gables/Marine), 305-243-6501 (Medical)

### **Human Subjects Research Office (HSRO)**

Provides administrative support to UM's various Institutional Review Boards (IRBs), including training in IRB regulations. Contact information for UM's IRBs and their committee members are available on the HSRO site. See also **Institutional Review Boards (IRBs)**.

- WEB: [www.hsro.miami.edu](http://www.hsro.miami.edu)
- TEL: 305-243-3195

### **Institutional Animal Care and Use Committee (IACUC)**

Responsible for oversight of research involving vertebrate animals, including review and approval of protocols, investigator and staff training, inspections and investigations.

- WEB: [uresearch.miami.edu/iacuc](http://uresearch.miami.edu/iacuc)
- TEL: 305-243-2311

### **Institutional Biosafety Committee (IBC)**

Responsible for approval and subsequent oversight of all research protocols involving the use of recombinant DNA.

- WEB: [www.miami.edu/rdna](http://www.miami.edu/rdna)
- TEL: 305-243-9291

### **Institutional Review Boards (IRBs)**

UM's four IRBs – three biomedical and one social-behavioral – are responsible for reviewing all research involving human subjects, to ensure compliance with state and federal requirements as well as UM policies. For listings of IRB members and contact information, see **Human Subjects Research Office**.

### **Intellectual Property Strategy and Licensing (formerly Office of Tech Transfer)**

Information about and planning for copyright, patent and trademark issues arising out of individual or collaborative research projects.

- WEB: [www.med.miami.edu/uminnovation/technologytransfer](http://www.med.miami.edu/uminnovation/technologytransfer)
- TEL: 305-243-4171

### **Medical Compliance, Office of**

Responsible for oversight of UHealth and School of Medicine compliance including billing, health privacy and security. See **Billing Compliance, Office of; HIPAA Privacy and Security, Office of.**

### **Radiation Control, Division of**

Ensures compliance with regulations regarding the use of radioactive materials and radiation-producing devices, including radiation monitoring and emergency response. For occupational, biological and chemical hazards, see **Environmental Health and Safety.**

- WEB: [ihs.med.miami.edu/x38.xml](http://ihs.med.miami.edu/x38.xml)
- TEL: 305-243-6369

### **Registrar, Office of the**

Information about course registration, transcripts and other academic records. Student Consumer Information and FERPA privacy information.

- WEB: [www.miami.edu/registrar](http://www.miami.edu/registrar)
- TEL : 305-284-2294

### **Research Compliance, Office of**

Responsible for oversight of UM research, including regulatory requirements related to conflict of interest, export control, and research misconduct. Provides training to investigators and staff on research compliance topics; conducts audits, inquiries and investigations of research practices. For anonymous reporting, see **'Cane Watch.**

- WEB: [uresearch.miami.edu/compliance](http://uresearch.miami.edu/compliance)
- TEL: 305-243-4054

### **Research Ethics Consultation Service**

Resource for UM affiliates who find themselves confronting an ethical issue or question during the development, conduct, analysis or reporting of research. Consults are confidential to the extent permitted by law and UM policy. For anonymous reporting, see **'Cane Watch.**

- WEB: [www.miami.edu/recs](http://www.miami.edu/recs)
- TEL: 305-243-5723 or 305-243-2222 (weekends, after-hours)

### **Research Integrity Officer (RIO) / Institutional Official (IO) / Vice Provost for Research (VPR)**

Ultimately responsible for compliance with regulations related to federally-sponsored research, including investigations of allegations of scientific misconduct. At UM, the RIO/IO is the Vice Provost for Research. See also **'Cane Watch.**

- WEB: [uresearch.miami.edu/integrity](http://uresearch.miami.edu/integrity)
- TEL: 305-243-4054

### **RCR resources**

Web links to UM and external resources related to the Responsible Conduct of Research. Ethics Programs site includes most recent version of this list.

- WEB: [www.miami.edu/rcr](http://www.miami.edu/rcr) (Ethics Programs), [uresearch.miami.edu/rcr](http://uresearch.miami.edu/rcr) (Office of Research)

### **Regulatory Support and Quality Assurance, Office of**

Promotes quality improvement in research by offering standardized auditing and monitoring, educational training and regulatory support for clinical research. See also **Research Compliance**.

- WEB: [uresearch.miami.edu/?p=376](http://uresearch.miami.edu/?p=376)
- TEL: 205-243-4215

### **Research Education and Training, Office of**

Offers a range of seminars on topics such as conflicts of interest, export control.

- WEB: [uresearch.miami.edu/edu](http://uresearch.miami.edu/edu)
- TEL: 305-243-5092

### **Scientists and Engineers Expanding Diversity and Success (SEEDS)**

Focused on support of women and under-represented minorities in science and engineering, but provides resources for all students. Support primarily directed to students in the College of Arts and Sciences, School of Marine and Atmospheric Sciences, College of Engineering, and School of Medicine.

- WEB: [www.as.miami.edu/seeds/](http://www.as.miami.edu/seeds/)
- TEL: 305-284-3988

### **Security**

Contact point for any emergency situation, and for reporting of clear criminal acts whether an emergency or not (e.g., assault, theft). For non-emergency reporting of research-related issues, such as research misconduct, see **'Cane Watch** and **Compliance Hotline** for anonymous reporting, or other listings in this document.

- TEL: 911, 305-284-6666 (Gables), 305-243-6000 (Medical)

### **Student Affairs**

Responsible for all aspects of student learning and student life at UM, including education of students in areas such as: community living, social intelligence and responsibility, ethical behavior, citizenship skills, social justice, leadership skills, coping with adversity, health and wellness, diversity, and adaptive skills for living. See also **Equality Administration, Faculty Affairs, Graduate School, and Human Resources**.

- WEB: [www.miami.edu/student-affairs](http://www.miami.edu/student-affairs)
- TEL: 305-284-2211 (see additional numbers on [Contact Information](#) page)

### **Student Health and Wellness**

Health and Counseling Center provides health services to undergraduates and graduate students. Faculty and staff see **Faculty and Staff Assistance Program (FSAP/EAP)**.

- WEB: [www.miami.edu/student-health](http://www.miami.edu/student-health)
- TEL: 305-284-9100

### **Student Ombudsperson**

Part of University Troubleshooters Program, a service of UM Student Life. Available for guidance on any aspect of student life, including grade appeals, class withdrawals, financial assistance, clarifications of UM policies or procedures, conflicts with faculty or staff. See also **Student Affairs, Student Health and Wellness**. Non-students see **Faculty Affairs, Human Resources, and Equality Administration**.

- WEB: [www.miami.edu/ombudsperson](http://www.miami.edu/ombudsperson)
- TEL: 305-284-4922

### **Undergraduate Research and Community Outreach**

Provides mentored research experiences to UM undergraduates in all disciplines. Outreach in the

community through support of research at Miami Dade College and in the public/private K-12 school systems. Places students with research mentors.

- WEB: [www.miami.edu/ugr](http://www.miami.edu/ugr)
- TEL: 305-284-5058

### Whistleblowers

For anonymous or non-anonymous reporting, see '**Cane Watch**. For general resources for students, faculty and staff, see **Student Affairs, Faculty Affairs, Human Resources, and Equality Administration**.

- WEB: [www.miami.edu/policies/hr/WhistleblowerProtectionStatement.pdf](http://www.miami.edu/policies/hr/WhistleblowerProtectionStatement.pdf)
- TEL: See information at web page

INDEX BY SUBJECT	
TOPIC/AREA	UM RESOURCE(S)
Animal subjects research	Institutional Animal Care and Use
Authorship and publication	
Clinical research	Billing Compliance, Office of; Clinical Research Initiation Service; Medical Compliance, Office of; Regulatory Support and Quality Assurance, Office of
Collaborative research	Intellectual Property Strategy and Licensing
Conflicts of interest	Conflict of Interest
Data management	HIPAA Privacy and Security, Office of
Employee support	Equality Administration; Faculty and Staff Assistance Program; Human Resources
Export and security compliance	Export Compliance
Faculty support	Faculty Affairs; Faculty and Staff Assistance Program
Human subjects research	Human Subjects Research Office; Institutional Review Boards
Intellectual property	Intellectual Property Strategy and Licensing
International research	
Mentoring	Scientists and Engineers Expanding Diversity and Success
Peer review	
Research ethics	Ethics Programs; Research Ethics Consultation Service
Research misconduct	Cane Watch; Research Compliance, Office of; Research Integrity Officer
Research resources	Core Facilities and Shared Resources
Research training	Collaborative Institutional Training Initiative (CITI) Program; Research Education and Training, Office of
Safety and security	Environmental Health and Safety, Office of; Institutional Biosafety Committee; Radiation Control, Division of; Security
Student support	Graduate School (Gables/Marine); Graduate and Postdoctoral Studies (Medical); Registrar, Office of the; Student Affairs; Student Health and Wellness; Student Ombudsperson
Whistleblowing	Cane Watch; Research Compliance, Office of; Research Integrity Officer